

05-02-01

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JC879 U.S. PTO

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (08-00)

Approved for use through 9/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	CONN015CON
First Inventor or Application Identifier	Schwabe et al.
Title	RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF
Express Mail Label No.	EL 563 387 491 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **48**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total **9**]
5. Oath or Declaration [Total Pages **2**]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper
 - c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Copy of Petition for Extension of Time from USSN 09/041,491; Change of Address

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/041,491**Prior application information: Examiner **A. Gupta**Group / Art Unit **1653**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code

24353

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Karl Bozicevic				
Address	200 Middlefield Road Suite 200				
City	Menlo Park	State	California	Zip Code	94025
Country	USA	Telephone	650-327-3400	Fax	650-327-3231

Name (Print/Type) **Karl Bozicevic**

Registration No. (Attorney/Agent)

28,807

Signature

Date

April 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail Label No.
EL 563 387 491 US

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$355.00

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Schwabe et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	CONN015CON

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0815**
Deposit Account Name **Bozicevic, Field & Francis LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$355.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	4 - 20** = 0	X	0.00
Independent Claims	1 - 3** = 0	X	0.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Name	Karl Bozicevic	Registration No. (Attorney/Agent)	28,807	Telephone	650-327-3400
Signature				Date	April 30, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**Change Of Attorney Or Agent's Address In Application
(37 CFR 1.8(a))**

Docket No.
CONN015CON

In Re Application Of:

Schwabe et al.

Serial No.
To Be Assigned

Filing Date
Herewith

Examiner
To Be Assigned

Group Art Unit
To Be Assigned

Invention: **RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF**

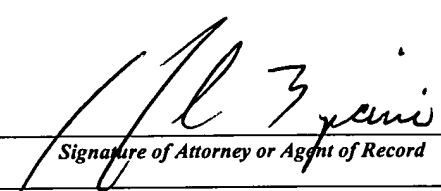
TO THE ASSISTANT COMMISSIONER FOR PATENTS

Please send all correspondence for this application to:

**Karl Bozicevic
Reg. No. 28,807
Bozicevic, Field & Francis LLP
200 Middlefield Road
Suite 200
Menlo Park, California 94025
650-327-3400 - Telephone
650-327-3231 - Facsimile**

Please direct all telephone calls to:

**Karl Bozicevic
Reg. No. 28,807
650-327-3400**


Signature of Attorney or Agent of Record

Dated: **April 30, 2001**


**Karl Bozicevic
Reg. No. 28,807
Bozicevic, Field & Francis LLP
200 Middlefield Road
Suite 200
Menlo Park, California 94025**

Registration Number & Address of Attorney or Agent of Record

~~I certify that this document is being deposited on
with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.~~

~~*Signature of Person Mailing Correspondence*~~

~~*Typed or Printed Name of Person Mailing Correspondence*~~

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. CONN015CON
Applicant(s): Schwabe et al.			
Serial No. To Be Assigned	Filing Date Herewith	Examiner To Be Assigned	Group Art Unit To Be Assigned
Invention: RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF			
<p>I hereby certify that the following correspondence:</p> <div>Patent Application Transmittal; Fee Transmittal in duplicate; Preliminary Amendment; Application Data Sheet; Copy of Specification, Claims and Abstract; Nine Sheets of Drawings; Copy of Executed Declaration; Sequence Listing Certification; Paper Copy of Sequence Listing; Change of Correspondence Address; Copy of Petition for Extension of Time from parent application Serial No. 09/041,491; Return Receipt Postcard</div> <p><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231</p> <p><u>4/30/01</u> <i>(Date)</i></p> <div>Margaret Pierce <i>(Typed or Printed Name of Person Mailing Correspondence)</i></div> <div> <i>(Signature of Person Mailing Correspondence)</i></div> <div>EL 563 387 491 US <i>("Express Mail" Mailing Label Number)</i></div>			
<p>Note: Each paper must have its own certificate of mailing.</p>			

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)
(Small Entity)

Docket No.
CONN015DIV

In Re Application Of:
Schwabe et al.

COPY

Serial No.
09/041,491

Filing Date
March 12, 1998

Examiner
A. Gupta

Group Art Unit
1653

Invention: RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF

11040 U.S. PTO
09/846149
04/30/01

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of December 6, 2000 in the above-identified application.
Date

The requested extension is as follows (check time period desired):

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: March 6, 2001 until: May 6, 2001
Date Date

A verified statement of small entity status as a small entity under 37 CFR 1.27:

- ☐ is enclosed.
☒ has already been filed in this application.

The fee for the extension of time is \$195 and is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0815
A duplicate copy of this sheet is enclosed.
☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0815 A duplicate copy of this sheet is enclosed.

Signature

Dated:

April 30, 2001

Karl Bozicevic
Reg. No. 28,807
Bozicevic, Field & Francis LLP
200 Middlefield Road
Suite 200
Menlo Park, California 94025
650-327-3400

I certify that this document and fee is being deposited on 30 April 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kimberly W. Zuehlke
Signature of Person Mailing Correspondence

Kimberly W. Zuehlke

Typed or Printed Name of Person Mailing Correspondence

CC: